

California Transparency in Supply Chains Act Statement

I. Policy Commitment

GlobalWafers places great importance on corporate social responsibility and sustainable operations. We are committed to ensuring that our supply chain is free from all forms of forced labor, human trafficking, and any other human rights violations. In alignment with the principles of the *California Transparency in Supply Chains Act (CTSCA)*, we continuously enhance supply chain transparency and compliance through procurement and supplier management mechanisms, thereby mitigating regulatory and reputational risks.

II. Supply Chain Risk Assessment

From a procurement management perspective, GlobalWafers recognizes supply chain risk management as a core responsibility. We conduct periodic assessments of potential human rights and labor risks associated with major suppliers and key raw materials, including but not limited to:

- Regulatory and human rights risks in the countries or regions where suppliers operate
- Industry- or process-specific labor risks
- Sources of labor, employment practices, and subcontracting arrangements

The results of these risk assessments serve as the basis for supplier classification, ongoing monitoring, and subsequent improvement actions.

III. Supplier Requirements & Verification

GlobalWafers' supply chain risk assessment and verification processes are primarily executed through internal procurement and supply chain management mechanisms, and may, where necessary, be conducted by third parties.

As part of our procurement processes, suppliers are required to commit that the products, raw materials, or services they provide comply with applicable labor laws and human rights standards, and to cooperate with the following measures:

- Suppliers must sign the *Supplier Code of Conduct* or equivalent commitment documents that explicitly prohibit forced labor and human trafficking
- Through questionnaires, document reviews, or self-declarations, suppliers must demonstrate their management systems and implementation status on relevant issues, including but not limited to:



- Compliance with the *Responsible Business Alliance (RBA) Code of Conduct*
- Adherence to forced labor-free sourcing requirements under the *Uyghur Forced Labor Prevention Act (UFLPA)*
- Implementation of due diligence in line with the *OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas*
- Depending on the level of risk, GlobalWafers reserves the right to conduct further verification or require corrective action plans.

IV. Audit & Corrective Actions

For suppliers identified as high-risk, GlobalWafers may conduct internal or third-party supplier audits in accordance with internal policies or customer requirements. In principle, audits are conducted with prior notice; however, unannounced audits may be carried out where required by customers or under special circumstances.

If any supplier is found to be non-compliant with applicable regulations or GlobalWafers' policies, appropriate actions will be taken based on the severity of the issue, including but not limited to:

- Requiring the supplier to submit and implement a corrective action plan within a specified timeframe
- Providing necessary guidance, communication opportunities, and recommendations for improvement
- Reassessing the business relationship in cases of serious violations or failure to implement corrective measures

V. Procurement Training & Internal Control

GlobalWafers recognizes the critical role that procurement personnel play in ensuring supply chain transparency. To strengthen awareness and compliance capabilities, regular training is provided to relevant employees on the following topics:

- Relevant laws and industry standards, including the *California Transparency in Supply Chains Act (CTSCA)*, *Uyghur Forced Labor Prevention Act (UFLPA)*, and the *RBA Code of Conduct*
- Identification of human rights and labor risks within the supply chain
- Practical approaches to supplier communication, auditing, and compliance management

In addition, GlobalWafers has established internal accountability mechanisms and response procedures. Through internal controls and process design, we ensure that procurement decisions balance commercial objectives with compliance requirements. If employees or suppliers are found to have violated GlobalWafers' policies regarding human rights, forced labor, or human trafficking, appropriate actions will be taken in accordance with internal management systems, contractual terms, and applicable regulations.

VI. Continuous Improvement & Disclosure

GlobalWafers is committed to continuously reviewing and enhancing its supply chain management systems. In accordance with applicable regulatory requirements and stakeholder expectations, this statement will be reviewed and updated as necessary to reflect evolving standards and to further strengthen our supply chain transparency and responsible sourcing practices.

Chairperson Doris Hsu

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