

# GlobalWafers Co., Ltd.

## Procedures for Application of Suspension and Resumption of Trading

### Article 1 (Basis of Formulation)

In accordance with Paragraph 1 of Article 13-4 of the Taipei Exchange (hereinafter referred to as “TPEX”) Procedures for Verification and Disclosure of Material Information of Companies with TPEX Listed Securities (hereinafter referred to as the “Procedures”), these Procedures are specifically formulated for reference and compliance.

### Article 2 (Purpose of these Procedures)

To uphold confidentiality and timely disclosure of material information, the Company shall proactively apply for the suspension of trading with TPEX when it discloses or shall convene the Board of Directors’ meeting to resolve specific material matters before 5:00 PM on business days, in order to provide sufficient time for the widespread public dissemination of the material information following its occurrence or announcement, to provide sufficient time to investors to digest the information, thereby reducing information asymmetry. Furthermore, after relevant information has been adequately disclosed or explained, the Company will apply for the resumption of trading.

### Article 3 (Legal Compliance)

The Company’s procedures for application of suspension and resumption of trading shall be handled in accordance with the relevant regulations of TPEX and these Procedures.

### Article 4 (Responsible Team for Handling Trading Suspension and Resumption)

The Company’s unit responsible for handling trading suspension and resumption is the President’s Office, and depending upon the business and actual needs, the President or Chairperson may further designate relevant personnel to jointly participate in the execution of trading suspension and resumption jointly.

The responsible unit shall pay attention to whether the Company falls under the circumstances specified in Article 5 that require proactive application for the suspension or resumption of trading at any time. If the Company meets any of the



aforementioned circumstances, the responsible unit shall proactively apply for the suspension or resumption and shall maintain a two-way communication channel with colleagues from TPEX to ensure smooth operation.

#### Article 5 (Application of Suspension and Resumption of Trading)

The Company expects to publicly announce or convene a Board meeting to address the circumstances specified in Paragraph 1 of Article 13-1 of the Procedures before 5:00 PM on business days shall proactively apply for trading suspension with TPEX on the business day one day before the information disclosure or meeting convention.

When the Company finds that mass media reports or other information have a significant impact on its shareholders' rights and interests or securities price, it shall explain the material information in accordance with the handling procedures. If it cannot be explained on the day of discovery, the Company shall proactively apply for a trading suspension with TPEX.

If the Company's securities have been suspended from trading by TPEX and have already provided a complete explanation of the circumstances of the reasons for the trading suspension or continued suspension is no longer necessary, the Company shall proactively apply for the resumption of trading with TPEX.

#### Article 6 (Procedure for Application of Trading Suspension and Final Approval Hierachy)

Before the Company prepares the proposals for the Board meeting or announces material information, the responsible unit shall pay attention to whether there is any event requiring the application of suspension of trading described in the preceding article. If such circumstance arises and is considered material after proper assessment, the responsible unit shall submit relevant supporting documents, fill out the "Trading Suspension Application Form", and after the approval of the President, it shall be affixed with the seals of the Company and the responsible person. The application form shall be faxed to TPEX to apply for the suspension of trading, and at the same time, email and telephone notices be sent to TPEX, and notify the contact person's name and phone number of the Company.

In the event of an "urgent matter" (referring to special conditions or events that cannot be reasonably foreseen by the Company, such as major natural disaster occurred at midnight, explosion or facility strike or riot, etc.) preventing the application from being submitted on the business day one day before the public announcement or convention of Board meeting for resolution, the Company may submit the application to TPEX before 7:00 AM on the same business day of announcement or meeting convention and provide supporting documents that meets the criteria for urgent matters for verification by TPEX.



When the responsible unit finds that mass media reports or other information have a significant impact on its shareholders' rights and interests or securities price, and it cannot provide explanation on the day of discovery, the responsible unit shall proactively apply for a trading suspension with TPEx according to the provision of Paragraph 1.

Article 7 (Procedure for Application of Trading Resumption and Final Approval Hierachy)

After conducting the information disclosure process in accordance with the resolutions of the Board of Directors or based on the actual situation, the Company shall provide relevant supporting documents and fill out the “Trading Resumption Application Form”, and after the approval of the President, it shall be affixed with the seals of the company and the responsible person in. The application form shall then be faxed to TPEx to apply for the resumption of trading, and at the same time, email and telephone notices be sent to TPEx, and notify the contact person’s name and phone number of the Company.

Article 8 (Announcement, Reporting and Information Disclosure Principle)

Before the Company applies for the suspension of trading and discloses relevant information of the case, the directors, managerial officers and employees of the Company knowing the material inside information shall comply with the “Procedures for Handling Material Inside Information” and other relevant regulations established by the Company, and shall observe confidentiality protocols. In addition, the following principles shall be upheld during the disclosure of material information to external parties:

- I. The disclosure of information shall be accurate, complete and timely.
- II. Information disclosed shall be substantiated.
- III. The information shall be disclosed fairly.

Article 9 (Announcement, Reporting and Information Disclosure Timing)

After TPEx announces the suspension or resumption of trading information on its website (<http://www.tpex.com.tw>), the Company shall release the material information on the suspension or resumption of trading within one hour.

Article 10 (Approval of Board Resolution and Amendment)

These Procedures shall be enforced upon approval of the Board of Directors. The same shall apply to any amendments.

Article 11 These Procedures were established on November 9, 2015.

The first amendment was made on October 5, 2018.

The second amendment was made on December 7, 2021.